

2/6/ DR/

PATENT

Atty. Docket: SOM920010003US1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	`	
Richard L. SPAGNA et al.	)	A1'
Serial No.: 09/884,618	)	Applications Branch
Confirmation No. 5040	,	
Filed: June 19, 2001	,	
For: METHOD AND SYSTEM FOR	,	
SECURING LOCAL DATABASE FILE OF	)	
LOCAL CONTENT STORED ON END-USER	)	
SYSTEM SYSTEM	)	
	)	
	)	

### SECOND REQUEST FOR STATUS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant(s) kindly request status on the above-identified application. No Office Action has been received since the application was filed on June 19, 2001 (over 3 years ago).

A copy of the filing receipt and a duplicate copy of this request are enclosed.

Date: <u>**3/7/05**</u>

Respectfully submitted,

Jon A. Gibbons

Registration No. 37,333

Attorney for Applicant

Fleit, Kain, Gibbons, Gutman, Bongini & Bianco P.L. 551 NW 77th Street

Suite 111

Boca Raton, Florida 33487 Telephone: (561) 989-9811 Facsimile: (561) 989-9812



#### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC	D ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/884,618	06/19/2001	2161	870 ·	SOM920010003U	S1 30	16	5

**CONFIRMATION NO. 5040** 

23334
FLEIT, KAIN, GIBBONS,
GUTMAN & BONGINI, P.L.
ONE BOCA COMMERCE CENTER
551 NORTHWEST 77TH STREET, SUITE 111
BOCA RATON, FL 33487

FILING RECEIPT

\*OC000000006305875\*

Date Mailed: 07/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Richard L. Spagna, Boca Raton, FL; Ting Zhao, Coral Springs, FL; Douglas R. Geisler, Boca Raton, FL; James C. Mahlbacher, Lake Worth, FL;

#### Assignment For Published Patent Application

INTERNATIONAL BUSINESS MACHINES CORPORATION, ARMONK, NY;

#### Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/376,102 08/17/1999 WHICH IS A CIP OF 09/177,096 10/22/1998 \* WHICH IS A CIP OF 09/133,519 08/13/1998 PAT 6,226,618 (\*) Data inconsistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted 07/06/2001

Projected Publication Date: 10/25/2001

Non-Publication Request: No

Early Publication Request: No

Received
JUL 20 2001
Fleit, Kain, Et Al

Title

Method and system for securing local database file of local content stored on end-user system

**Preliminary Class** 

705

Data entry by : YOSEPH, LETEYESUS

Team: OIPE

Date: 07/17/2001

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#### PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231